

PATENT Docket No.: 1179/201

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Julie Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Ho

Serial No.: 10/022,007

Filing Date: December 13, 2001

For: CHEMILUMINESCENCE-BASED

MICROFLUIDIC BIOCHIP

Examiner: Lu, Frank Wei Min

Group Art Unit: 1634

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

\boxtimes	Within three months of the application filing date or before receipt of a first Office Action					
	on the merits; accordingly, no fee or separate requirements are required.					
After receipt of a first Office Action on the merits but before a final Office Action						
	Notice of Allowance.					
	A fee is required. A check for payment of the fees set forth in 37 C.F.R. § 1.17(p)					
	is attached.					
	A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee					
	is believed to be due.					
	After receipt of a final Office Action or Notice of Allowance, but before payment of the					
	issue fee. A check for payment of the fees set forth in 37 C.F.R. § 1.17(p), and a					
Certification under 37 C.F.R. § 1.97(e) are provided herein.						
	The undersigned declares that:					
	Each item of information contained in the information disclosure statement was cited in a					
•	communication from a foreign patent office in a counterpart foreign application not more					
	than three months prior to the filing of the information disclosure statement.					
	No item of information contained in the information disclosure statement was cited in a					
	communication from a foreign patent office in a counterpart foreign application, and, to the					
	knowledge of the person signing the statement after making reasonable inquiry, no item of					
	information contained in the information disclosure statement was known to any individual					
	designated in § 1.56(c) more than three months prior to the filing of the information					
	disclosure statement.					

Serial No.: 10/022,007 Docket No.: 1179/201 The Assistant Commissioner is hereby authorized to charge any fees which may be required by this statement to **Deposit Account Number 501288** referencing docket no. 1179/201.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Dated: June 16, 2004

Respectfully submitted,

Ву:

Wen Liu

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Serial No.: 10/022,007 Docket No.: 1179/201

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form	TAPTRADEMAY

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/022,007		
Filing Date	December 13, 2001		
First Named Inventor	Но		
Art Unit	1634		
Examiner Name	Lu, Frank Wei Min		
Attorney Docket Number	1179/201		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ļ	Number-Kind Code ^{2 (if known)}		<u></u>	rigules Appear
	1.	^{US-} US2002/0187560	12-12-2002	Pezzuto, et al.	
	2.	^{US-} US2002/0124879	09-12-2002	Kaplan, et al.	
		US-			
		US-			
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	-	US-			
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
	1.	EP1203959A1	05-08-2002	Asahi Kasei		
	2.	DE19858443A1	07-06-2000	Mikrotechnik		
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Examiner		Date
Signature	· ·	Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

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Substitute for form 1449/PTO		Complete if Known			
00000000				Application Number	10/022,007
INFO	DRMATION	DIS	CLOSURE	Filing Date	December 13, 2001
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Но
	(Use as many she	ets as n	ecessary)	Art Unit	1634
		.013 23 11		Examiner Name	Lu, Frank Wei Min
Sheet	2	of	2	Attorney Docket Number	1179/201

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
	1.	International Search Report of Counterpart PCT Application No. PCT/US04/00768	
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	1		
Examiner Signature	1	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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